



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter J. Sims

Serial No: 09/020,393

Art Unit: Not yet assigned

Filed: February 9, 1998

Examiner: Not yet assigned

For: COMPOSITIONS AND METHODS TO INHIBIT FORMATION OF THE C5b9  
COMPLEX OF COMPLEMENT

Box MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL OF ASSIGNMENT, DECLARATION/POWER OF ATTORNEY,  
AND VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 C.F.R. §1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION**

Sir:

Enclosed for filing in the above-identified patent application are the following documents:

1. A Declaration for Patent Application and Power of Attorney executed by Peter J. Sims;
2. An Assignment by Peter J. Sims to Oklahoma Medical Research Foundation and Blood Center Research Foundation, Inc.;
3. Recordation cover sheet Form PTO-1619-A;
4. A Verified Statement (Declaration) Claiming Small Entity Status by Oklahoma Medical Research Foundation;
5. A Verified Statement (Declaration) Claiming Small Entity Status by Blood Center Research Foundation, Inc.;
6. A check in the amount of \$65.00 for late filing of the Declaration; and
7. A check in the amount of \$40.00 for recordation fee.

Applicant has not received a Notice to File Missing Parts-Filing Date Granted.

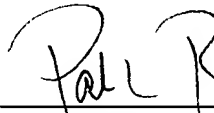
U.S.S.N. 09/020,393

Filed: February 9, 1998

TRANSMITTAL OF ASSIGNMENT, DECLARATION/POWER OF ATTORNEY, AND VERIFIED STATEMENT  
(DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. § 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION

~~The Commissioner is hereby authorized to charge any other fees which may be required, or~~  
credit any overpayment to Account No. 01-2507. To facilitate this process, applicants have enclosed a duplicate of this letter. It is believed that no additional fee is required with this submission.

Respectfully submitted,



Patrea L. Pabst  
Reg. No. 31,284

Date: April 29, 1998

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Filed: February 9, 1998

TRANSMITTAL OF ASSIGNMENT, DECLARATION/POWER OF ATTORNEY, AND VERIFIED STATEMENT  
(DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. § 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this Transmittal of Assignment and Declaration/Power of Attorney, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner of Patents, Washington, D.C. 20231.

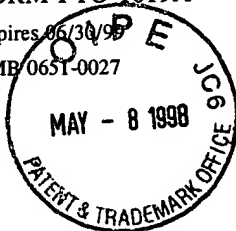
  
Maeve J. Barnwell

Date: May 5, 1998

FORM PTC-1619A

Expires 06/30/98

OMB 0651-0027



U.S. Department of Commerce

Patent and Trademark Office

PATENT

RECORDATION FORM COVER SHEET  
PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

## Submission Type

☒ New1☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

Reel #

Frame #

☐ Corrective Document

Reel #

Frame #

## Conveyance Type

☒ Assignment☐ License☐ Merger☐ Security Agreement☐ Change of Name☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐ Departmental File☐ Secret File

## Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Sims, Peter J.

Name (line 2)

Execution Date  
Month Day Year

02191998

## Second Party

Name (line 1)

Name (line 2)

Execution Date

## Receiving Party

☒

Mark if additional names of receiving parties attached

Name (line 1) Oklahoma Medical Research Foundation

Name (line 2) a corporation of Oklahoma

Address (line 1) 825 N.E. 13th Street

Address (line 2)

Address (line 3) Oklahoma City

Oklahoma-USA

73104

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

## Domestic Representative Name and Address

Enter the first Receiving Party only.

Name

Address (line 1)

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Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231  
520774.1

OMRF 170 20487/222

FORM PTO-1619A

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## REGISTRATION FORM COVER SHEET

## CONTINUATION

## PATENTS ONLY

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## PATENT

## Conveying Party(ies)

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Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Execution Date  
Month Day YearExecution Date  
Month Day YearExecution Date  
Month Day Year

## Receiving Party(ies)

☐

Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

Blood Center Research Foundation, Inc.

a corporation of Wisconsin

8727 Watertown Plank Road

Milwaukee

City

Wisconsin-USA

State/Country

53226-3548

Zip Code

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1)

Name (line 2)

Address (line 1)

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If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

City

State/Country

Zip Code

## Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)





